# STATE OF UTAH DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING APPLICATION FOR LICENSURE

#### FACTORY BUILT HOUSING DEALER

DOPL-AP-079 REV 11/20/2000

#### APPLICATION INSTRUCTIONS AND INFORMATION

**General Statement:** The Division desires to provide courteous and timely service to all applicants for licensure. To maximize its efficiency and level of service, the Division will process complete applications only. **A complete application includes all applicable supporting documents and fees.** The fees are for processing your application and will not be refunded. Failure to complete the application and supply all necessary information may result in denial of licensure. Please read all instructions carefully.

**Address of Record:** The address listed on the application will be your address of record. All correspondence from the Division will be sent to that address. It is your responsibility to directly notify the Division of any change in address. Also, please note, the address of record is public information, available upon request and via the internet. You may choose to use a business address or a P. O. Box for your address of record rather than your home address.

**Social Security Number:** Your social security number is classified as a private record pursuant to Title 63, Chapter 2, Utah Government Records Access and Management Act (GRAMA). It is used as an individual identifier for our licensing database and for purposes of the child support enforcement pursuant to Subsection 78-32-17(3) and is mandatory pursuant to Subsection 58-1-301(1), Utah Code Ann., which implements the requirements of 42 U.S.C. 666(a)(13). An application that does not include a social security number is incomplete and cannot be processed.

#### **Supporting Documents and Fees:**

- 1. If the applicant is a business entity (except a sole proprietor operating under his own personal given name), submit a "Certificate of Good Standing" or a copy of the "Articles of Incorporation" that has been filed with the Utah Division of Corporations and Commercial Code.
  - The Utah Division of Corporations and Commercial Code is located at 160 East 300 South, 1st Floor, Salt Lake City, Utah 84111 and the telephone number is (801) 530-4849 #1.
- 2. If the applicant's company is incorporated in another state, submit a copy of the "Utah Certificate of Authority" from the Utah Division of Corporations and Commercial Code.

- 3. If the applicant is using an assumed name(s), submit a copy of all "D.B.A. Registrations" filed with the Utah Division of Corporations and Commercial Code.
- 4. Submit a Factory Built Housing Dealer bond completed by your bonding agent (form attached to this application).
- 5. Submit the \$30.00 non-refundable application processing fee.

#### **Additional Important Information:**

- 1. **Who Must Register as a Factory Built Housing Dealer:** Each person engaged in the sale of factory housing in Utah shall register every other year with the Division as a dealer.
- 2. **Laws and Rules:** You are required to understand all Utah laws and rules pertaining to factory built housing.

The following applicable laws and rules are available on the Internet at <a href="http://www.commerce.state.ut.us">http://www.commerce.state.ut.us</a>

You may also purchase them for a fee from Experior, 5486 South 1900 West, Taylorsville, Utah 84118, (801) 355-5009.

- □ Division of Occupational & Professional Licensing Act
- □ General Rules of the Division of Occupational & Professional Licensing
- □ Utah Uniform Building Standards Act
- □ Utah Uniform Building Standards Act Rules
- 3. **Registration Renewal:** Factory Build Housing Dealer registrations expire September 30, even numbered years.

**Make Licensure Fees Payable To:** 

**DOPL** 

**Mail Complete Application To:** 

By U.S. Mail

Division of Occupational & Professional Licensing P.O. Box 146741 Salt Lake City, Utah 84114-6741

By Delivery or Express Mail

Division of Occupational & Professional Licensing 160 East 300 South, 1<sup>st</sup> Floor Lobby Salt Lake City, Utah 84111 **Telephone Numbers:** 

Direct Dial: (801) 530-6163

Utah Toll Free: (866) ASK-DOPL

(866) 275-3675

**Fax Number:** (801) 530-6511

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# APPLICATION FOR LICENSE or CERTIFICATE or REGISTRATION

The business legal name is the name which will appear on the license and is the actual name under which the business will be conducted. This is normally the name registered with the Division of Corporations. If there are fictitious business names (doing business as), list those names also, e.g., XYZ Corporation d.b.a. XYZ Housing. If you are going to operate under your own personal given name, this will also be your business name.

CENERAL INFORMATION

GENERAL INFORMATIO			
License/Certificate/Registration	on Applying For:		
BUSINESS LEGAL NAME	:		
MAILING ADDRESS			
Street:			
City:	State:	Zip:	
County:	Telephon	e:	
CONTACT PERSON FOR	LICENSING PURPOSES:		
Name:			
Mailing Address:			
Telephone:	Fax:		
DO NOT WRITE IN THIS	SECTION - FOR DIVISION US	SE ONLY	
Registration Number:			
Date Registration Approved:			
Approved By:			
Date Registration Denied:			
Denied By:			
Reason For Denial/Other Comments	S:		

#### **BUSINESS ENTITY FORM:**

Corporation
Corporate Name:
Utah Division of Corporations Number:
Date of Incorporation:
Partnership
Name of Partnership:
General Limited
Utah Division of Corporations Number
Date of Partnership Agreement:
Sole Proprietorship
Name of Business Entity:
Limited Liability Company
Name of Company
Utah Division of Corporations Number
Date Filed:
Other Type of Business Form:
Utah Division of Corporations Number
<b>IDENTIFYING INFORMATION FOR BUSINESS ENTITY FORM:</b> Supply the identifying information below for all corporate officers, directors, registered agents, and shareholders of a corporation (not required if publicly traded); all partners of a partnership; the sole proprietor of a sole proprietorship; all persons who have an ownership or management responsibility for a limited liability company or other type of business form. Ownership must tota 100%. Use additional sheets if necessary.
Full Name:

Position Title:	Date of Birth:
Mailing Address:	
Soc. Sec. No.:	Percent Owned:
Full Name:	
Position Title:	Date of Birth:
Mailing Address:	
Soc. Sec. No.:	Percent Owned:
Full Name:	
Position Title:	Date of Birth:
Mailing Address:	
Soc. Sec. No.:	Percent Owned:
Full Name:	
Position Title:	Date of Birth:
Mailing Address:	
Soc. Sec. No.:	Percent Owned:
Full Name:	
Position Title:	Date of Birth:
Mailing Address:	
Soc. Sec. No.:	Percent Owned:
Full Name:	
	Date of Birth:
Mailing Address:	
Soc. Sec. No.:	Percent Owned:

## ALL DBA'S TO BE USED

Use additional sheets if necessary.

Name	<u> </u>
	Address_
	Phone Number
	Utah Division of Corporations Number
Name	;
	Address
	Phone Number
	Utah Division of Corporations Number
Name	<u>}</u>
	Address_
	Phone Number
	Utah Division of Corporations Number
Name	<u>,                                    </u>
	Address
	Phone Number_
	Utah Division of Corporations Number
Name	<u> </u>
	Address
	Phone Number
	Utah Division of Corporations Number

# FACTORY BUILT HOUSING DEALER QUALIFYING QUESTIONNAIRE

Answer AYes@or ANo@for each question. Do not leave any questions unanswered.

Has any officer, director, registered agent, partner, sole proprietor, shareholder (unless publicly traded), member, owner, or manager associated with or employed by the dealership: 1. ever applied for or received a license from the Division of Occupational and Professional Licensing under any name other than the name listed on this application? 2. ever held or do they now hold a license in the State of Utah or in any other state? 3. ever had any license denied, conditioned, curtailed, limited, restricted, suspended, revoked, or in any way disciplined by Federal, State, or local government? 4. ever filed for, been subjected to an involuntary petition for, or been adjudged bankrupt, or sought protection under the bankruptcy laws during the last 10 years? If the answer is "yes", include a copy of the bankruptcy schedules with this application. 5. \_ever been arrested for, charged with, pled guilty or no contest to, or entered a plea in abeyance, or been convicted of a misdemeanor or felony charge in any jurisdiction during the last 10 years? Minor traffic offenses such as parking or speeding violations need not be listed but motor vehicle offenses such as driving while impaired or intoxicated must be disclosed. 6. had a judgement entered against them in any court during the last 10 years? 7. ever been permitted to resign or surrender their business license while under investigation or while action was pending against them by any licensing agency, or criminal or administrative jurisdiction? 8. recently used or are they currently using any drugs (including recreational drugs) without a valid prescription, the possession or distribution of which is unlawful under the Utah Controlled Substances Act or other applicable state or federal law? 9. ever used any drugs without a valid prescription, the possession or distribution of which is unlawful under the Utah Controlled Substances Act or other applicable state or federal law, for which they have not successfully completed or are not now participating in a supervised drug rehabilitation program, or for which they have not otherwise been successfully rehabilitated?

10.

abuse?

\_ever been involved as the abuser in any incident of verbal, physical, mental, or sexual

11currently guaranteed the loans or obligations of another party?	11.	currently guaranteed the	he loans or	obligations	of another party?	
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12. \_\_\_\_currently have any disciplinary action pending against them now by any licensing agency?

If the answer to any of the above questions is "YES" please enclose with this application complete information with respect to all circumstances and the final result, if such has been reached.

A "Yes" answer does not necessarily mean the applicant will not be granted a license, however additional documentation may be requested by the Division if the information submitted is insufficient.

#### **AFFIDAVIT and RELEASE AUTHORIZATION**

I being first duly sworn declare under penalty of perjury as follows:

I am authorized to sign this Affidavit and Release Authorization on behalf of the applicant described and identified in this application for registration in the State of Utah.

The applicant is qualified in all respects for the registration for which it is applying in this application.

To the best of applicant's knowledge, the information contained in the application and its supporting document(s) is free of fraud, misrepresentation, or omission of material fact. To the best of applicant's knowledge, the information contained in the application and its supporting document(s) is truthful, correct, and complete; and, discloses all material facts regarding the applicant and associated individuals necessary to properly evaluate the applicant's qualifications for registration.

Applicant will ensure that any information subsequently submitted to the Division in conjunction with this application or its supporting documents meets the same standard as set forth above.

Applicant understands that it is unlawful and punishable as a class A misdemeanor to apply for or obtain a license or to otherwise deal with the Division or a licensing board through the use of fraud, forgery, or intentional deception, misrepresentation, misstatement, or omission.

Applicant understands that this application will be classified as a public record and will be available for inspection by the public, except with regard to the release of information which is classified as controlled, private, or protected under the Government Records Access and Management Act or restricted by other law.

Applicant authorizes all persons, institutions, organizations, schools, governmental agencies, employers, references, or any others not specifically included in the preceding characterization, which are set forth directly or by reference in this application, to release to the Division of Occupational and Professional Licensing, State of Utah, any files, records or information of any type reasonably required for the Division to properly evaluate my qualifications for licensure/certification/registration by the State of Utah.

Signature of Applicant	 
Date of Signature:	 
Printed Name of Applicant	

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Division of Occupational and Professional Licensing 160 East 300 South, PO Box 146741 Salt Lake City, Utah 84114-6741

Fax: 801 530-6511

#### FACTORY BUILT HOUSING DEALER BOND

KNOW ALL PERSONS BY THESE PRESENTS: That we,			
<del>-</del>			
of (Street Address )			
_			
(City)	, (State)	(Zip)	, as Principal,
and			
		, a S	Surety Company
qualified and authorized to do bu	siness in the State of Utah	n, as Surety, are jo	ointly and severally
held and firmly bound to the peop	ple of the State of Utah to	indemnify person	ns, firms, and
corporations for losses which ma	y occur by reason of viola	ation of the condi	tions hereinafter
contained, in the total aggregate	sum of Fifty Thousand an	nd NO/100 Dollar	rs (\$50,000.00) as
required by Utah Code Ann. 58-	56-16(2)(c) (1999), and U	Itah Administrati	ve Code R156-56-
602(1) (2000), lawful money of t	he United States for the p	ayment of which	, well and truly to be
made, we bind ourselves, our hei	rs, executors, administrate	ors, successors an	nd assigns, jointly,
severally and firmly by these pres	sents. The total aggregate	liability of this bo	ond to all persons
making claims shall not exceed the	ne penal sum stated herein	1.	

#### THE CONDITION OF THIS OBLIGATION IS SUCH, That:

WHEREAS, the above bounden Principal has applied for registration as a dealer of factory built housing within the State of Utah, and pursuant to the application, registration has been or is about to be made.

NOW THEREFORE, if the above bounden Principal shall obtain said registration to do business as a dealer of factory built housing under the provisions of THE UNIFORM BUILDING STANDARDS ACT providing for the regulation and control of the business of dealing of factory built housing, as provided by Chapter 56, Title 58, Utah Code Ann. (1999), the above bounden Principal and Surety shall indemnify persons, firms and corporations in accordance with Utah Code Ann. 58-56-16 (2) (c) (1999) for losses which may occur as the result of the above bounden Principal's violation of any of the unlawful or unprofessional conduct provisions of Chapter 1, Title 58,and Chapter 56 Title 58 Utah Code Ann (1999) or any law respecting commerce in factory built housing promulgated by a registration or regulating authority so that the total aggregate liability on the bond to all persons making claims may not exceed \$50,000 as set forth in Utah Code Ann 58-56-16 (2) (c) on account of any violation or violations of said laws or rules during the time of said registration and all lawful renewals. Said bounden Principal shall also pay

reasonable attorney's fees in cases successfully prosecuted or settled against the Principal or Surety if the bond has not been depleted.

The Surety herein reserves the right to withdraw as such surety except as to any liability already incurred or accrued hereunder and may do so upon giving written notice of such withdrawal to the Principal and to the Division of Occupational and Professional Licensing, provided, however, that no withdrawal shall be effective for any purpose until sixty (60) days shall have elapsed from and after the receipt of such notice by the said Division, and further provided that no withdrawal shall in anywise affect the liability of said Surety arising out of any violation or violations of said laws or rules by the Principal hereunder prior to the expiration of such period of sixty (60) days, regardless of whether or not the loss suffered has been reduced to judgment before the lapse of sixty (60) days.

Signed and sealed this day o	f ,
	(Principal)
	(Surety)
	Attorney-in-Fact

(Bonding company must be listed in the Department of Treasury, Fiscal Service, Circular 570, current revision, entitled "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies".)